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<b>To</b>	<b>Company</b>	<b>Fax Number</b>	<b>Phone Number</b>
1. Examiner Ella Colbert	USPTO	703-872-9306	703-308-7064
<b>Date</b>	<b>Client/Matter Number</b>		
November 2, 2004	U.S. Patent Appln. No. 10/073,632; our 333307.00002		
<b>From</b>	<b>Attorney Number</b>		
Richard P. Bauer	33549		
<b>Phone</b>	<b>Fax</b>		
202-625-3507	202-298-7570-		
Total number of pages, including cover letter: 21 If you do not receive all of the pages, please call: 202.625.3509			

**Comments**

Attached herewith is a Response To Office Communication (mailed September 30, 2004) and a Revocation and New Power of Attorney.

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Doc # WASH: (333307-00002) 41371710v1, 11/02/2004/Time: 11:03

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In re Application of:

Docket No. 333307.00002

BRIAN C. TARBOX, ET AL.

Application No.: 10/073,632

Examiner: Ella Colbert

Filed: February 11, 2002

Group Art Unit: 3624

For: SYSTEMS AND METHOD FOR  
IMPROVING INVESTMENT PERFORMANCE

Date: November 2, 2004

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Response To Office Communication and a  
Revocation and New Power of Attorney in the above-identified application.

☒ No additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 22	MINUS	** 22	= 0	x \$9 \$18	\$000.00
INDEP. CLAIMS	* 2	MINUS	*** 3	= 0	x \$44 \$88	\$000.00
Fee for Multiple Dependent claims \$150°/\$300						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT—						\$000.00

☐ °Verified Statement claiming small entity status is enclosed, if not  
filed previously.

☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

☐ Charge \$\_ to Deposit Account No. 50-1710 for the additional claims  
fee. A duplicate copy of this sheet is attached.

Page 1 of 2  
Doc ID: 333307-00002 41571637v1.11/2/2004/Time 10:50

- ☒ The Commissioner is hereby authorized to charge any fees due with respect to this filing to Deposit Account No. 50-1710. A duplicate copy of this paper is enclosed.
- ☐ Charge \_ to Deposit Account No. 50-1710 to cover the fee for a \_ month extension of time fee. A duplicate copy of this paper is attached.
- ☐ Charge \$ \_ to Deposit Account No. 50-1710 to cover the Information Disclosure Statement fee. A duplicate copy of this paper is attached.
- ☒ Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3507. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorneys for Applicants

Registration No. 31,588

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS ROSENMAN  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693  
Facsimile: (312) 902-1061

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Atty Dkt 333307.00002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Ella Colbert
BRIAN C. TARBOX ET AL.	)	
	:	Group Art Unit: 3624
Application No.: 10/073,632	)	
	:	
Filed: February 11, 2002	)	Confirmation No.: 6664
	:	
For: SYSTEMS AND METHODS FOR	)	
IMPROVING INVESTMENT	:	
PERFORMANCE	)	November 2, 2004

Mail Stop No Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO OFFICE COMMUNICATION

Sir:

As indicated in the concurrently-filed Revocation and New Power of Attorney, please change the attorney docket number for the subject application to **333307.00002**, and direct all further correspondence to:

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS ROSENMAN  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693  
Facsimile: (312) 902-1061

In response to the September 30, 2004 Office Communication, and with the understanding that the July 19, 2004 Amendment has been entered, please enter the following amendments and remarks.

I. Amendments to the Specification begin on page 3 of this paper.

II. Remarks begin on page 6 of this paper.